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\*101123217A\*

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ASSIGNOR:  
DERVAN, PETER B.

DOC DATE: 08/06/1999

ASSIGNOR:  
BAIRD, ELTON E.

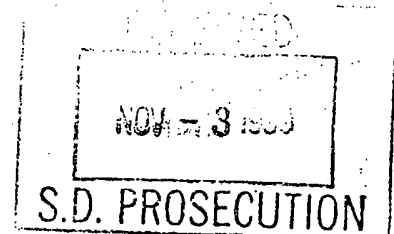
DOC DATE: 08/06/1999

ASSIGNEE:  
CALIFORNIA INSTITUTE OF TECHNOLOGY  
1200 EAST CALIFORNIA BOULEVARD  
PASADENA, CALIFORNIA 92115

SERIAL NUMBER: 09372474  
PATENT NUMBER:

FILING DATE: 08/11/1999  
ISSUE DATE:

SHAREILL COLES, EXAMINER  
ASSIGNMENT DIVISION  
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Peter B. Dervan and Eldon E. Baird

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No



3. Nature of conveyance:

Assignment

Execution Date: August 6, 1999

2. Name and address of receiving party(ies)

CALIFORNIA INSTITUTE OF TECHNOLOGY  
1200 East California Boulevard  
Pasadena, CA 92115

Additional name(s) & address(es) attached? ☐ Yes ☐ No



4. Application number(s) or patent number(s): Not yet assigned

If this document is being filed together with a new application, the execution date of the application is:  
August 11, 1999

A. Patent Application No.(s)

09/372474

Additional numbers attached? ☐ Yes ☐ No ☒

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard J. Warburg

LYON & LYON

Street Address: 633 West Fifth Street

Suite 4700

City: Los Angeles State: CA Zip: 90071-2066

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

X Enclosed

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8. Deposit account number:

12-2475

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Daniel M. Chambers

Name of Person Signing

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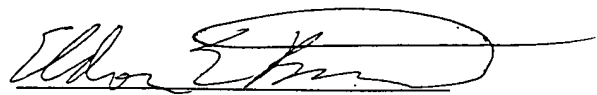
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08/17/1999 JTB:SH 16 0000047 0932474  
04 FC:561

### ASSIGNMENT

For valuable consideration, we, PETER B. DERVAN of 1235 St. Albans Road, San Marino, California 91108 and ELDON E. BAIRD of 311 Halyard Lane, Foster City, California 94404 (hereinafter referred to as "the Assignors"), hereby assign to CALIFORNIA INSTITUTE OF TECHNOLOGY, a non-profit organization organized and existing under and by virtue of the laws of the State of California and having its principal place of business at 1200 East California Boulevard, Pasadena, California 91125 and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent filed herewith, U.S. Serial No. to be assigned, and related applications and patents, entitled DESIGN, SYNTHESIS AND USE OF SPECIFIC POLYAMIDE DNA-BINDING LIGANDS, this assignment including said application, any and all United States and foreign patents granted for any of said inventions or improvements, including all divisions, continuations, reissues, continuations-in-part and extensions thereof, and the right to claim priority based on the filing date of said application and based on the filing date of any provisional application of which said application claims the benefit under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our names or in its own name for patents and like rights of exclusion and for inventor's certificates for said inventions and improvements; and we agree for ourselves and our heirs, legal representatives and assigns, without further compensation, upon request to perform such lawful acts, to promptly provide Assignee with all pertinent facts and documents relating to said invention or application as may be known and accessible to us, to testify in any interference or litigation related to said invention or application and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this Assignment.

The Assignors hereby covenant that no assignment, sale, agreement, or encumbrance has been or will be made or entered into which would conflict with this Agreement.

IN WITNESS WHEREOF, I hereto set my hand and seal at 2 Corporate Dr., this 6  
day of August, 1999.

  
Eldon E. Baird

State of California:

SS:


County of San Mateo:

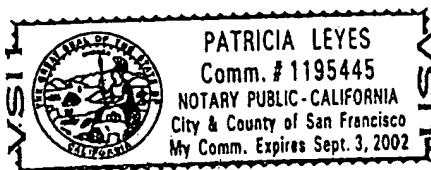
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Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Eldon E. Baird,  
Name(s) of Signer(s)


personally known to me -- OR -- proved to me on the basis of satisfactory evidence to be  
the person(s) whose name(s) is/are subscribed to the  
within instrument and acknowledged to me that  
he/she/they executed the same in his/her/their  
authorized capacity(ies), and that by his/her/their  
signature(s) on the instrument the person(s), or the  
entity upon behalf of which the person(s) acted,  
executed the instrument.

WITNESS my hand and official seal.

  
Signature of Notary Public



IN WITNESS WHEREOF, I hereto set my hand and seal at 2 Corporate Office, this 6  
day of August, 1999.

  
Peter B. Dervan

State of California:

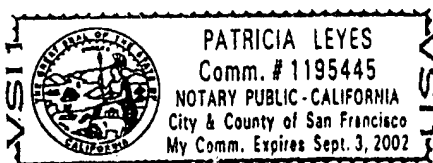
SS:

County of San Mateo:

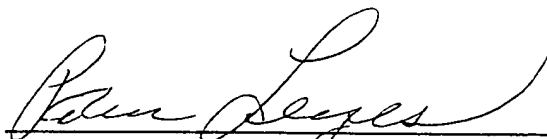
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Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

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the person(s) whose name(s) is/are subscribed to the  
within instrument and acknowledged to me that  
he/she/they executed the same in his/her/their  
authorized capacity(ies), and that by his/her/their  
signature(s) on the instrument the person(s), or the  
entity upon behalf of which the person(s) acted,  
executed the instrument.



WITNESS my hand and official seal.

  
Signature of Notary Public